

## Privacy Policy for Canary Finance & Investment Ltd

Effective Date: 28 March 2018

At Canary Finance & Investment (“we,” “our,” or “us”), we value and respect your privacy. This Privacy Policy outlines how we collect, use, and safeguard your personal and financial information. Our goal is to maintain transparency regarding how we handle your data and to ensure that it is protected in compliance with applicable privacy laws and regulations.

By using our financial advisory services, you agree to the collection and use of your personal information as outlined in this policy. If you do not agree with the terms of this Privacy Policy, please refrain from using our services.

### 1. Information We Collect

We collect various types of personal and financial information to provide our financial advisory services. The types of information we may collect include:

- **Personal Identification Information:** Name, address, email address, phone number, Social Security number, date of birth, and other personal details necessary to conduct business with you.
- **Financial Information:** Income, investment details, financial goals, bank account information, credit reports, tax filings, and other data relevant to your financial situation.
- **Transaction and Account Information:** Records of transactions, account balances, payment history, and other financial activities associated with our services.
- **Communication Data:** Information from communications with us, such as emails, phone calls, or through our website.

### 2. How We Use Your Information

We use the information we collect to provide, improve, and personalize our financial advisory services. Specifically, we may use your information for the following purposes:

- To assess your financial needs and goals.
- To offer tailored financial advice, planning, and investment recommendations.
- To process transactions and manage accounts.
- To communicate with you regarding account updates, financial matters, or promotional offers (if you opt-in).
- To comply with legal and regulatory obligations, including reporting to tax authorities or financial regulators.
- To improve our services and customer support.

### 3. How We Protect Your Information

We take the security of your personal and financial information seriously. We implement industry-standard security measures to safeguard your data, including encryption, firewalls, and secure servers. We restrict access to your information to only those employees or service providers who need it to perform their duties.

Despite our best efforts, please be aware that no method of transmitting data over the internet or electronic storage is 100% secure. While we strive to protect your personal information, we cannot guarantee its absolute security.

#### **4. Sharing Your Information**

We may share your information with third parties in the following circumstances:

- **Service Providers:** We may engage third-party service providers (such as financial institutions, account custodians, software providers, or tax preparers) to assist with providing our services. These providers are required to protect your information and use it only to provide services on our behalf.
- **Legal and Regulatory Compliance:** We may disclose your information to comply with legal obligations, such as responding to subpoenas, court orders, or regulatory requests.
- **Business Transfers:** In the event of a merger, acquisition, or sale of our business, your personal information may be transferred as part of the transaction.

We do not sell or rent your personal information to third parties for marketing purposes.

#### **5. Your Rights and Choices**

As our client, you have certain rights regarding your personal information:

- **Access and Correction:** You have the right to access the personal information we hold about you and request corrections or updates if necessary.
- **Opt-Out of Marketing Communications:** If you have opted to receive marketing communications from us, you may withdraw your consent at any time by following the unsubscribe instructions in the email or contacting us directly.
- **Data Retention:** We retain your personal and financial information for as long as necessary to provide our services and comply with legal and regulatory requirements. If you wish to request the deletion of your personal information, please contact us.

#### **6. Third-Party Websites**

Our website may contain links to third-party websites. Please be aware that we are not responsible for the privacy practices of these external sites. We encourage you to read the privacy policies of any third-party sites you visit.

#### **7. Cookies and Tracking Technologies**

We may use cookies, web beacons, and similar tracking technologies to enhance your experience on our website. These technologies help us analyze trends, manage the site, and gather demographic information. You can control the use of cookies through your browser settings, but disabling cookies may affect your user experience.

#### **8. Children's Privacy**

Our services are not intended for individuals under the age of 18, and we do not knowingly collect personal information from children. If you are a parent or guardian and believe that we

have collected information about a child, please contact us, and we will take steps to delete such information.

### **9. Changes to This Privacy Policy**

We may update this Privacy Policy from time to time to reflect changes in our practices, legal requirements, or industry standards. Any updates will be posted on this page with the effective date noted. We encourage you to review this policy periodically for any changes.

### **10. Contact Us**

If you have any questions or concerns about this Privacy Policy or how we handle your personal information, please contact us at:

Canary Finance & Investment Ltd  
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By using our services, you acknowledge that you have read and understand this Privacy Policy.